

國立中山大學 114學年度第1學期 課程教學大綱

National Sun Yat-sen University 114Academic year1st Semester Course syllabus

中文名稱 Course name(Chinese)	金融機構與資本市場			課號 Course Code	EMBA975
英文名稱 Course name(English)	FINANCIAL INSTITUTIONS AND CAPITAL MARKETS				
課程類別 Type of the course	講授類	必選修 Required/Selected	必修	系所 Dept./faculty	管理學院高階經營碩士學程在職專班
授課教師 Instructor	王昭文			學分 Credit	1.5

課程大綱 Course syllabus

本課程教學大綱已提供完整英文資訊（本選項僅供統計使用，未提供完整英文資訊者，得免勾記）【Provide information of course syllabus in English.(This is for statistical use only. For those who do not provide information of course syllabus in English, do not check this field.)】

1. Practice of Compound interest and the Net Present Value (NPV) 複利、淨現值實務應用
2. Introduction and Analysis of Financial Institutions in Taiwan 台灣金融機構介紹與功能分析
3. Introduction of Money Market and Fundamental Analysis 貨幣市場介紹與商品分析
4. Introduction of Capital Market and Fundamental Analysis 資本市場介紹與商品分析
5. Derivatives and Fundamental Analysis 衍生性商品與商品分析
6. Convertible Bonds Analysis 可轉換公司債分析

課程目標 Objectives

This course is designed to teach students fundamental knowledge of many financial courses. Various topics of financial decisions are covered in this course, including The Rule of 72s, renting or buying houses, insurance investment. In addition, the course teaches students to understand the concepts and functions of financial institutes such as commercial banks, securities, and insurance companies in Taiwan. The characteristics of money markets and capital markets will also be introduced. Besides, the course will cover common hedging methods such as hedging through derivative assets, and convertible corporate bonds.

本課程為EMBA財務相關課程的基礎。除培養EMBA學員理解複利、淨現值實務上在各種財務決策(如72法則、租屋或購屋、儲蓄險商品投資)之應用外，亦希望學員了解台灣金融機構(如商業銀行、證券與保險公司)概念與功能，貨幣市場與資本市場包含商業本票、特別股、股票、債券、基金與ETF的基本評估與特性。此外，本課程亦將介紹公司理財中的一個重要課題:運用衍生性金融商品的避險方式，讓EMBA同學更加清楚了解正確的市場風險規避方法。最後，將介紹台灣上市櫃公司最常發行的可轉換公司債商品，讓學員更了解公司發行可轉換公司債的風險與利益所在之處。

授課方式 Teaching methods

Lectures, in-class discussion, and group presentation.

講授、課程討論及分組報告

本週上課將採 Google Meet 進行同步遠距課程，已選課學生請於以下連結進入：<https://meet.google.com/qes-zigr-kdi>

進入會議室，統一名稱為:科系+年級+學號+姓名。

非選課學生擬旁聽者，請於前述連結登入，並於留言區留下科系+年級+學號+姓名，以做為異常處理之參考。

評分方式〔評分標準及比例〕Evaluation (Criteria and ratio)等第制單科成績對照表 letter grading reference

- 1.平常成績In-class Performance：40%
- 2.平常課堂參與及與表現In-class Discussion：20%
- 3.繳交一份金融機構與資本市場相關期末案例報告Individual Assignment：40%

參考書/教科書/閱讀文獻 Reference book/ textbook/ documents

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序號	作者	書名	出版社	出版年	出版地	ISBN#
No.	Author	Title	Publisher	Year of publish	Publisher place	ISBN#
1	王昭文	自製講義				
2	Ross, S. A., R. W. Westerfield, J. F. Jaffe and B. D. Jordan	Corporate Finance	McGraw-Hill/Irwin	2009		

課程時數規劃 Course Hour Planning

本校自114學年度起實施學期16週，課程（含期末考試）應於16週內完成。學分之計算仍以1學分18小時為原則。教師課程時數安排得選擇「16週+自主學習規劃」或「16週+實體上課規劃」。  
Starting from the 114th academic year, the university will implement a 16-week course schedule, and all courses (including final examinations) must be completed within this 16-week time frame while maintaining the standard of 18 hours of instruction per credit. Instructors can choose between “16-weeks + Alternative learning periods” or “16-weeks + In-person classes.”

- 本門課程為「16週+自主學習規劃」：教師需於「A.每週課程內容及預計進度」欄位填寫16週課程進度，並於「B.自主學習規劃」欄位填寫每1學分2小時學生自主學習內容。  
16 weeks + alternative learning periods: The instructor will include a 16-week course plan in the weekly scheduled progress section (16 hours of instruction per credit) and provide details of the learning plan (two hours of activity per credit) in the alternative learning period section.
- 本門課程為「16週+實體上課規劃」：教師需於「A.每週課程內容及預計進度」欄位填寫16週課程進度，並於「C.實體上課規劃」填寫2次授課內容及主題，且於學期16週內完成。  
16 weeks + in-person classes: The instructor will include a 16-week course plan in the weekly scheduled progress section (16 hours of instruction per credit) and specify the content and topics of the 2 in-person classes in the in-person class plan section, and must be completed within this 16-week time frame.

A.每週課程內容及預計進度 Weekly scheduled progress

全英課程之授課內容及主題應以英文或雙語呈現  
For courses taught entirely in English, the content and topics should be presented in English or bilingually.

週次	日期	授課內容及主題
Week	Date	Content and topic
1	2025/09/07~2025/09/13	Practice of Compound Interest and the Net Present Value(NPV) 複利、淨現值實務應用
2	2025/09/14~2025/09/20	Practice of Compound Interest and the Net Present Value(NPV) 複利、淨現值實務應用
3	2025/09/21~2025/09/27	Practice of Compound Interest and the Net Present Value(NPV) 複利、淨現值實務應用
4	2025/09/28~2025/10/04	Introduction and Analysis of Financial Institutions in Taiwan 台灣金融機構介紹與功能分析
5	2025/10/05~2025/10/11	Introduction and Analysis of Financial Institutions in Taiwan 台灣金融機構介紹與功能分析
6	2025/10/12~2025/10/18	Introduction of Money Market and Fundamental Analysis 貨幣市場介紹與商品分析
7	2025/10/19~2025/10/25	Introduction of Money Market and Fundamental Analysis 貨幣市場介紹與商品分析
8	2025/10/26~2025/11/01	Introduction of Capital Market and Fundamental Analysis 資本市場介紹與商品分析
9	2025/11/02~2025/11/08	Introduction of Capital Market and Fundamental Analysis 資本市場介紹與商品分析
10	2025/11/09~2025/11/15	Introduction of Capital Market and Fundamental Analysis 資本市場介紹與商品分析
11	2025/11/16~2025/11/22	Derivatives and Fundamental Analysis 衍生性商品與商品分析
12	2025/11/23~2025/11/29	Derivatives and Fundamental Analysis 衍生性商品與商品分析
13	2025/11/30~2025/12/06	Derivatives and Fundamental Analysis 衍生性商品與商品分析
14	2025/12/07~2025/12/13	Derivatives and Fundamental Analysis 衍生性商品與商品分析
15	2025/12/14~2025/12/20	Convertible Bonds Analysis 可轉換公司債分析





- SDG5-性別平等(Gender Equality)
- SDG6-乾淨水源與公共衛生(Clean Water and Sanitation)
- SDG7-可負擔乾淨能源(Affordable and Clean Energy)
- SDG8-優質工作與經濟成長(Decent Work and Economic Growth)
- SDG9-工業、創新和基礎建設(Industry,Innovation and Infrastructure)
- SDG10-減少不平等(Reduced Inequalities)
- SDG11-永續城市(Sustainable Cities and Communities)
- SDG12-責任消費與生產(Responsible Consumption and Production)
- SDG13-氣候行動(Climate Action)
- SDG14-海洋生態(Life Below Water)
- SDG15-陸域生態(Life on Land)
- SDG16-和平、正義和穩健的制度(Peace,Justice And Strong Institutions)
- SDG17-促進目標實現的全球夥伴關係(Partnership for the Goals)
- 本課程和SDGS無關

本課程校外實習資訊: This course is relevant to internship:

本課程包含校外實習（本選項僅供統計使用，無校外實習者，得免勾記）  
The course includes internship.(For statistical use only. If the course without internship, please ignore this item.)

實習定義：規劃具有學分或時數之必修或選修課程，且安排學生進行實務與理論課程實習，於實習終了取得考核證明繳回學校後，始得獲得學分；或滿足畢業條件者。（一般校內實習請勿勾選此欄位）

Internship: The required or elective courses should include credits and learning hours. Students should participate in the corporative company or institution to practice and learn the real skills. An internship certification must be handed in at the end of internship to get the credits or to fulfil the graduation requirements.

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